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February 5, 2002

Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, Washington, D.C. 20231 on February 5, 2002

Paula Albelda Nudelman
Signature

Paula Albelda Nudelman
Typed or printed name of person signing certificate

Commissioner for Patents
Washington, D.C. 20231

Re: Inventors: Sivananda K. Kanakasabapathy and Lawrence J. Overzet
Patent Application Entitled: ION-ION PLASMA PROCESSING WITH BIAS MODULATION
SYNCHRONIZED TO TIME-MODULATED DISCHARGES
Serial No.: 09/820,244
Filing Date: March 28, 2001
Our File No.: 119941-1089

Dear Sir:

Enclosed for filing in connection with the above-referenced patent application are the following documents:

1. Supplemental Information Disclosure Statement (3 pp.);
2. Supplemental Form PTO 1449 with one (1) reference; and
3. Return postcard.

Please file the above-referenced documents and return the date-stamped postcard to our office at the above address. It is believed that no additional fees are due. If this is incorrect, the Commissioner is hereby authorized to charge any fees due which may be required by this paper to Deposit Account No. 07-0153.

In the meantime, if you have any questions or comments concerning any of the above, please call the undersigned at your convenience. Otherwise, please accept the enclosed.

Respectfully submitted,
Gardere Wynne Sewell LLP

Edwin S. Flores

Edwin S. Flores
Registration No. 38,453

ESF:pan:1105352.1

Enclosures

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Attorney Docket No.: 119941-1089

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

SIVANANDA K. KANAKASABAPATHY and
LAWRENCE J. OVERZET

Serial No.: 09/820,2446
Filing Date: March 28, 2001
Examiner: Not Yet Known
Group No.: 1623
For: ION-ION PLASMA PROCESSING WITH BIAS MODULATION
SYNCHRONIZED TO TIME-MODULATED DISCHARGES

Commissioner for Patents
Washington, D.C. 20231

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SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

As listed on the accompanying form PTO-1449, submitted herewith is a copy of the indicated reference which Applicant believes may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 CFR §1.56.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this Supplemental Information Disclosure Statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

IDENTIFICATION OF TIME OF FILING THE
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

- ☐ This Information Disclosure Statement is being filed within three months of the filing date of the national application.
- ☐ This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in § 1.491 in an international application.
- ☐ This Supplemental Information Disclosure Statement is being filed before the mailing date of the First Office Action on the merits.
- ☒ This Supplemental Information Disclosure Statement is being filed after three months of the filing date of the national application or after three months of the date of entry of the national stage as set forth in § 1.491 in an international application or after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is:

- ☒ a statement specified in 37 CFR § 1.97(e); or
- ☐ a fee set forth in 37 CFR § 1.17(p)
- ☐ This Information Disclosure Statement is being filed after the mailing date of a final action under § 1.113, or a notice of allowance under § 1.311 but before the payment of the issue fee.

Accompanying this transmittal is:

1. a statement as specified in 37 CFR § 1.97(e); and
2. a fee set forth in 37 CFR § 1.17(p)

STATEMENT FOR INFORMATION DISCLOSURE STATEMENT 37 CFR 1.97(e)
(Only if Required Above)

I, the person(s) signing below hereby states that:

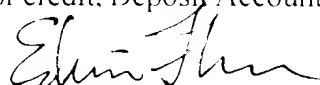
- ☒ each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(e)(1); or
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in the counterpart foreign application to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. 37 CFR § 1.97(e)(2).

FEE PAYMENT

- ☐ attached is a check in the amount of \$ _____
- ☐ charge Deposit Account No. 07-0153
in the amount \$ _____


A duplicate of this request is attached. Applicant believes no are due for the filing of this Supplemental Information Disclosure Statement. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. 07-0153.

Dated: February 5, 2002



Edwin S. Flores
Attorney for Applicant
Registration No.: 38,453

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CERTIFICATE OF MAILING
Date of Deposit: February 5, 2002
I hereby certify that the papers listed below and attached hereto are being deposited with the United States Postal Service via First Class Mail service, with sufficient postage, under 37 CFR 1.8 on the date indicated above and addressed to Commissioner for Patents, Washington, DC 20231
 Paula Albelda Nudelman

[illegible]